



Practical Cryptanalysis of a Public-key Encryption Scheme Based on Non-linear Indeterminate Equations at SAC 2017

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Agenda

Post-Quantum Cryptography

IEC/Giophantus as Lattice-based PKE

Attack against IEC with $n = 80$

Attack against IEC with prime n

Summary

NIST PQC Round 1 Candidates

We have 69 candidates on 21 Dec. 2017.

Code, Lattice, MQ, Isogeny, SymKey, Others

PKE/KEM 49: BIG QUAKE, BIKE, CFPKM, Classic McEliece, Compact LWE, CRYSTALS-Kyber, DAGS, Ding Key Exchange, DME, Edon-K, EMBLEM and R.EMBLEM, FrodoKEM, Giophantus, GuessAgain, Hila5, HK17, HQC, KCL, KINDI, LAC, LAKE, LEDAkem, LEDApkc, Lepton, Lima, Lizard, LOCKER, LOTUS, McNie, Mersenne-756839, NewHope, NTRUEncrypt, NTRU-HRSS-KEM, NTRU Prime, NTS-KEM, Odd Manhattan, Ouroboros-R, Post-Quantum RSA-Encryption, QC-MDPC KEM, Ramstake, RLCE-KEM, Round2, RQC, RVB, SABER, SIKE, SRTPI, Three Bears, Titanium

Sig. 22: CRYSTALS-Dilithium, DME, DRS, DualModeMS, Falcon, GeMSS, Gravity-SPHINCS, Gui, HiMQ-3, LUOV, MQDSS, pqNTRUsign, Picnic, Post-Quantum RSA-Signature, pqsigRM, qTESLA, RaCoSS, Rainbow, RankSign, SPHINCS+, SRTPI, WalnutDSA

Summary

IEC/Giophantus proposes CPA/CCA-secure PKE
based on IE-LWE (= a special Module-LWE)

	n	deg X
IEC in Aug. 2017	80	1 or 2
IEC in Sep. 2017	83	1 or 2
Giophantus in Dec. 2017	≥ 1201	1

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Akiyama et al. changed parameter values by reflecting our attacks.

- ▶ IEC in Aug. 2017
 - ▶ Key Recovery in ≈ 30 s (deg $X = 1$)
 - ▶ Distinguishing in ≈ 0.5 s (deg $X = 1$)
 - ▶ Distinguishing in ≈ 30 s (deg $X = 2$)
- ▶ IEC in Sep. 2017
 - ▶ Plaintext Recovery in ≈ 17 h (deg $X = 2$)
 - ▶ Distinguishing in ≈ 4 days for large $n \leq 110$ (deg $X = 2$)

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IEC/Giophantus as Lattice-based PKE

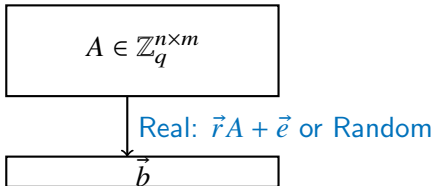
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LWE [Reg09]

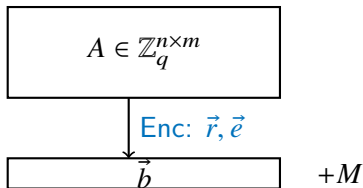
- ▶ Learning with Errors (LWE) Problem: Distinguishing
 - ▶ $(A, \vec{r}A + \vec{e})$ ($A \leftarrow \mathbb{Z}_q^{n \times m}$, $\vec{r} \leftarrow \mathbb{Z}_q^n$, $\vec{e} \leftarrow \chi^m$)
 - ▶ (A, \vec{b}) ($A \leftarrow \mathbb{Z}_q^{n \times m}$, $\vec{b} \leftarrow \mathbb{Z}_q^m$)



Example of χ : Discrete Gaussian

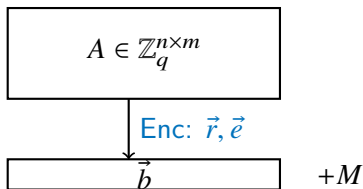
GPV-like PKE [GPV08]

ek = A , dk = \vec{u} with $A \cdot \vec{u} = 0$, $\|\vec{u}\| \leq B$, and $u_0 = 1$
ct = $\vec{b} = \vec{r}A + p\vec{e} + (M, 0, \dots, 0)$ with $\|\vec{e}\| \leq B$



GPV-like PKE [GPV08]

ek = A , dk = \vec{u} with $A \cdot \vec{u} = 0$, $\|\vec{u}\| \leq B$, and $u_0 = 1$
ct = $\vec{b} = \vec{r}A + p\vec{e} + (M, 0, \dots, 0)$ with $\|\vec{e}\| \leq B$



$$\begin{aligned}\vec{b} \cdot \vec{u}^\top &= M + (\vec{r}A + p\vec{e}) \cdot \vec{u}^\top \\ &= M + p\vec{e} \cdot \vec{u}^\top \quad (\text{in } \mathbb{Z} \text{ if } p(1 + B^2) < q/2)\end{aligned}$$

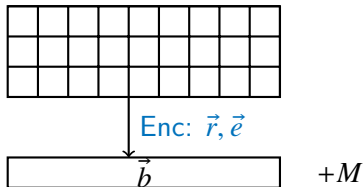
Thus, $M := (\vec{b} \cdot \vec{u}^\top \bmod^* q) \bmod p$

Module-LWE-based GPV-like PKE

$A \in \mathbb{Z}_q^{hn \times wn}$ is made from $a_{1,1}, \dots, a_{h,w} \in \mathbb{Z}_q[t]/(t^n + 1)$

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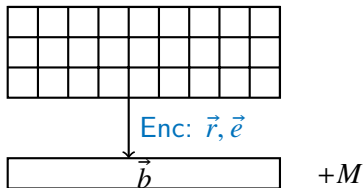


$$\begin{aligned}\vec{b} \cdot \vec{u}^\top &= M + (\vec{r}A + p\vec{e}) \cdot \vec{u}^\top \\ &= M + p\vec{e} \cdot \vec{u}^\top \quad (\text{in } \mathbb{Z})\end{aligned}$$

Thus, $M := (\vec{b} \cdot \vec{u}^\top \bmod^* q) \bmod p$

IEC/Giophantus

$A \in \mathbb{Z}_q^{hn \times wn}$ is made from few $a_{1,1}, \dots, a_{h,w} \in \mathbb{Z}_q[t]/(t^n + 1)$
 $ek = A, dk = \vec{u}$ with $A \cdot \vec{u} = 0$, $u_i \in [0, p)$, and $u_0 = 1$
 $ct = \vec{b} = \vec{r}A + p\vec{e} + (M, 0, \dots, 0)$ with $e_i \in [0, p)$



$$\begin{aligned}\vec{b} \cdot \vec{u}^\top &= M + (\vec{r}A + p\vec{e}) \cdot \vec{u}^\top \\ &= M + p\vec{e} \cdot \vec{u}^\top \text{ (in } \mathbb{Z})\end{aligned}$$

Thus, $M := (\vec{b} \cdot \vec{u}^\top \bmod q) \bmod p$

IEC (deg $X = 1$)

- ▶ Key Generation
 - ▶ dk: “Short” $u_x, u_y \in \mathbb{Z}_q[t]/(t^n - 1)$
 - ▶ ek: $X(x, y) = a_{10}x + a_{01}y + a_{00}$
 - ▶ $a_{10}, a_{01} \leftarrow \mathbb{Z}_q[t]/(t^n - 1)$ and set $a_{00} = -(a_{10}u_x + a_{01}u_y)$
- ▶ Encryption: A plaintext is “short” $M \in \mathbb{Z}_q[t]/(t^n - 1)$
 - ▶ Choose random $r(x, y) = r_{10}x + r_{01}y + r_{00}$
 - ▶ Choose “short” $e(x, y) = e_{20}x^2 + \dots + e_{00}$
 - ▶ A ciphertext is $c(x, y) = M + p \cdot e(x, y) + r(x, y) \cdot X(x, y)$

PT-Recovery Attack against IEC ($\deg X = 1$)

- ▶ ek: $X(x, y) = a_{10}x + a_{01}y + a_{00}$
- ▶ ct: $c(x, y) = M + p \cdot e(x, y) + r(x, y) \cdot X(x, y)$

$$A = \begin{matrix} & \begin{matrix} 1 & x & y & x^2 & xy & y^2 \end{matrix} \\ \begin{matrix} 1 \\ x \\ y \end{matrix} & \begin{pmatrix} A_{00} & A_{10} & A_{01} & & & \\ & A_{00} & & A_{10} & A_{01} & \\ & & A_{00} & & A_{10} & A_{01} \end{pmatrix} \end{matrix} \in \mathbb{Z}_q^{240 \times 480}$$

- ▶ Solve a 480-dim. CVP instance $(\Lambda_q(A), \vec{b})$,

$$\Lambda_q(A) = \{\vec{y} \in \mathbb{Z}^{480} \mid \exists \vec{r} \in \mathbb{Z}^{240} : \vec{r} \cdot A \equiv \vec{y}\},$$
$$\vec{b} = \vec{r} \cdot A + (p\vec{e} + (M, 0, \dots, 0)) \bmod q$$

- Success if the diff. is $p\vec{e} + (M, 0, \dots, 0)$
- But, (experimentally) hard for LLL/BKZ to find the diff.

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Gentry's "Origami" Attack [Gen01]

The core of his attack

If $d|n$,

$$\theta : \mathbb{Z}[t]/(t^n-1) \rightarrow \mathbb{Z}[t]/(t^d-1) : f = \sum_i f_i t^i \mapsto \sum_{i=0}^{d-1} \left(\sum_{j=0}^{n/d-1} f_{jd+i} \right) t^i$$

is a ring homomorphism.

The dim.: $n \rightarrow d$ and the norm: $a \rightarrow \leq (n/d)a$.

$$f \begin{array}{cccc} f_0 & f_d & f_{2d} & f_{3d} & f_{n-1} \\ \hline \square & \square & \square & \square & \end{array} \quad + \quad \begin{array}{c} \square \\ \square \\ \square \\ \square \\ \hline \end{array} \quad \theta(f) \quad \begin{array}{c} \square \\ \hline \end{array}$$

PT-Recovery Attack on IEC (deg $X = 1$)

- ▶ ek: $X(x, y) = a_{10}x + a_{01}y + a_{00}$
- ▶ ct: $c(x, y) = M + p \cdot e(x, y) + r(x, y) \cdot X(x, y)$

$$A = \begin{matrix} & 1 & x & y & x^2 & xy & y^2 \\ \begin{matrix} 1 \\ x \\ y \end{matrix} & \begin{pmatrix} A_{00} & A_{10} & A_{01} & & & \\ & A_{00} & & A_{10} & A_{01} & \\ & & & A_{00} & A_{10} & A_{01} \end{pmatrix} \end{matrix} \in \mathbb{Z}_q^{240 \times 480}$$

- ▶ Solve a 480-dim. CVP instance $(\Lambda_q(A), \vec{b})$,

$$\Lambda_q(A) = \{\vec{y} \in \mathbb{Z}^{480} \mid \exists \vec{r} \in \mathbb{Z}^{240} : \vec{r} \cdot A \equiv \vec{y}\},$$
$$\vec{b} = \vec{r} \cdot A + (p\vec{e} + (M, 0, \dots, 0)) \bmod q$$

→ Success if the diff. is $p\vec{e} + (M, 0, \dots, 0)$

- ▶ But, (experimentally) hard for LLL/BKZ to find the diff.

“Origami” Dist. Attack on IEC ($\deg X = 1$)

- ▶ ek: $X(x, y) = a_{10}x + a_{01}y + a_{00}$
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- ▶ ek: $X(x, y) = a_{10}x + a_{01}y + a_{00}$
- ▶ ct: $c(x, y) = M + p \cdot e(x, y) + r(x, y) \cdot X(x, y)$
- ▶ Let $d = 10$ and apply $\theta : \mathbb{Z}[t]/(t^{80} - 1) \rightarrow \mathbb{Z}[t]/(t^{10} - 1)$

$$A' = \begin{matrix} & 1 & x & y & x^2 & xy & y^2 \\ \begin{matrix} 1 \\ x \\ y \end{matrix} & \begin{pmatrix} A'_{00} & A'_{10} & A'_{01} & & & \\ & A'_{00} & & A'_{10} & A'_{01} & \\ & & A'_{00} & & A'_{10} & A'_{01} \end{pmatrix} & \in \mathbb{Z}_q^{30 \times 60} \end{matrix}$$

- ▶ Solve a 60-dim. CVP instance $(\Lambda_q(A'), \vec{b}')$

$$\Lambda_q(A') = \{\vec{y} \in \mathbb{Z}^{60} \mid \exists \vec{r} \in \mathbb{Z}^{30} : \vec{r} \cdot A' \equiv \vec{y}\},$$

$$\vec{b}' = \vec{r}' \cdot A' + (p\vec{e}' + (M', 0, \dots, 0)) \bmod q$$

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- ▶ Solve a 60-dim. CVP instance $(\Lambda_q(A'), \vec{b}')$

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$$\vec{b}' = \vec{r}' \cdot A' + (p\vec{e}' + (M', 0, \dots, 0)) \bmod q$$

→ We can find the diff. = $p\theta(\vec{e}) + (\theta(M), 0, \dots, 0)$

- ▶ This leaks $\theta(M) \bmod p$!

Demo.

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PT-Recovery Attack on IEC ($\deg X = 1$)

- ▶ The “origami” attack seems not work if n is prime
(Note: Castryck and Vercauteren showed a dist. attack when $d = 1$ and $q = 2^{31} - 1$ for Giopantus)
- ▶ Is there another good subring?

PT-Recovery Attack on IEC ($\deg X = 1$)

- ▶ The “origami” attack seems not work if n is prime
(Note: Castryck and Vercauteren showed a dist. attack when $d = 1$ and $q = 2^{31} - 1$ for Giophantus)
- ▶ Is there another good subring?
- ▶ Fixing $y = 0$ yields a subring $R[x]$!
- ▶ Let us consider

$$\pi : R_{n,q}[x, y] \rightarrow R_{n,q}[x] : f(x, y) \mapsto f(x, 0)$$

- ▶ The problem is finding M from

$$X(x, 0) = a_{10}x + a_{00}$$

$$c(x, 0) = M + p \cdot e(x, 0) + r(x, 0) \cdot X(x, 0)$$

Subring Attack on IEC ($\deg X = 1$)

- ▶ Apply $\pi : R_{n,q}[x, y] \rightarrow R_{n,q}[x]$

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$$A' = \begin{matrix} & 1 & x & x^2 \\ \begin{matrix} 1 \\ x \end{matrix} & \begin{pmatrix} A_{00} & A_{10} & \\ & A_{00} & A_{10} \end{pmatrix} & \end{matrix} \in \mathbb{Z}_q^{160 \times 240}.$$

- ▶ Solve a 240-dim. CVP instance $(\Lambda_q(A'), \vec{b}')$

$$\Lambda_q(A') = \{\vec{y} \in \mathbb{Z}^{240} \mid \exists \vec{r} \in \mathbb{Z}^{160} : \vec{r} \cdot A' \equiv \vec{y}\},$$

$$\vec{b}' = \vec{r}' \cdot A' + (p\vec{e}' + (M', 0, \dots, 0)) \bmod q$$

→ Success if the diff. = $p\pi(\vec{e}) + (M, 0, \dots, 0)$

- ▶ Unfortunately, (experimentally) hard for LLL/BKZ to find the diff if $\deg X = 1$.

Subring Attack on IEC ($\deg X = 2$)

- ▶ Apply $\pi : R_{n,q}[x, y] \rightarrow R_{n,q}[x]$
- ▶ ek: $X(x, 0) = a_{20}x^2 + a_{10}x + a_{00}$
- ▶ ct: $c(x, 0) = M + p \cdot e(x, 0) + r(x, 0) \cdot X(x, 0)$

$$A' = \begin{matrix} & 1 & x & x^2 & x^3 & x^4 \\ \begin{matrix} 1 \\ x \\ x^2 \end{matrix} & \begin{pmatrix} A_{00} & A_{10} & A_{20} & & \\ & A_{00} & A_{10} & A_{20} & \\ & & A_{00} & A_{10} & A_{20} \end{pmatrix} \end{matrix} \in \mathbb{Z}_q^{240 \times 400}.$$

- ▶ Solve a 400-dim. CVP instance $(\Lambda_q(A'), \vec{b}')$

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$$\vec{b}' = \vec{r}' \cdot A' + (p\vec{e}' + (M', 0, \dots, 0)) \bmod q$$

- We can find the diff. $= p\pi(\vec{e}) + (M, 0, \dots, 0)$ in 17 hours!
- ▶ because q is too big to make IEC perfectly correct.

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 - ▶ Distinguishing for large $n \geq 100$