A Constructive View of Continuity Principles

Robert S. Lubarsky Florida Atlantic University joint work with Hannes Diener

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An Analysis of Continuity

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If a) every such map is sequentially nondiscontinuous, and b) every sequentially nondiscontinuous map is sequentially continuous, and

c) every sequentially continuous map is continuous, then clearly CONT follows.

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Definition

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If a) every such map is sequentially nondiscontinuous, and b) every sequentially nondiscontinuous map is sequentially continuous, and

c) every sequentially continuous map is continuous, then clearly CONT follows.

Theorem

(Ishihara) (Countable Choice)
a) iff ¬WLPO (Weak Limited Principle of Omniscience)
b) iff WMP (Weak Markov's Principle)
c) iff BD (Boundedness Principle)

BD and BD-N

Definition

A subset A of \mathbb{N} is *pseudo-bounded* if every sequence (a_n) of members of A is eventually bounded by the identity function: $\exists N \forall n > N a_n < n$ (equivalently, $\lim_n a_n/n = 0$).

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Example

Any bounded set.

BD: Every inhabited pseudo-bounded set (of natural numbers) is bounded.

BD-N: Every countable pseudo-bounded set is bounded.

(Ishihara) BD-N iff every sequentially continuous function from a separable metric space to a metric space is continuous.

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The Truth of BD-N

Where is BD-N true? Ans: classically, intuitionistically, computably

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The Truth of BD-N

Where is BD-N true? Ans: classically, intuitionistically, computably Where is BD-N false? Ans: certain realizability and topological models The topological model: Put the right topology on the space of (pseudo-)bounded sequences. This is effectively taking a generic pseudo-bounded sequence, which will not be bounded.

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Principles Weaker Than BD-N The Models Questions

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Anti-Specker Spaces

(Specker) There is a computable, strictly increasing sequence of rationals in [0,1] with no computable limit.

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Definition

A metric space X satisfies the anti-Specker property if, for every sequence $(z_n)(n \in \mathbb{N})$ through $X \cup \{*\}$, if (z_n) is eventually bounded away from each point in X, then (z_n) is eventually *.

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Theorem

(Bridges) BD-N implies that the anti-Specker spaces are closed under products.

Q (Bridges): Does the converse implication hold?

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(Berger, Bridges, Diener) BD-N implies the Riemann Permutation Theorem.

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Partially Cauchy Sequences

Definition

A sequence (a_n) is partially Cauchy if for every $g \ge Id$ diam $(a_n, a_{n+1}, ..., a_{g(n)}) \to 0$.

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The Model for $\neg BD-\mathbb{N}$

Let T be the set of bounded sequences of natural numbers.

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The Model for $\neg BD-\mathbb{N}$

Let T be the set of bounded sequences of natural numbers. A basic open set p is given by a function g_p which: *i*) fixes finitely many entries in the sequence (the stem), and *ii*) bounds the values of the other entries with a non-decreasing, unbounded function.

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Let G be the canonical generic: $p \Vdash G(n) = x$ iff n < stem(p) and $g_p(n) = x$.

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Theorem

 $T \Vdash rng(G)$ is countable, pseudo-bounded, but not bounded. Also, $T \Vdash DC$.

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The Model for $\neg RPT$

Let T be $\{(a_n) \mid a_n \text{ is eventually 0 and the terms sum to 0}\}$.

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Let T be $\{(a_n) \mid a_n \text{ is eventually 0 and the terms sum to 0}\}$. A basic open set p is given by: *i*) finitely many real intervals $I_0, I_1, ..., I_N$, as approximations to the first few entries of the sequence, and

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Let G be the canonical generic:

 $p \Vdash G(n) \in I_n$.

Theorem

 $T \Vdash rng(G)$ is a counter-example to the RPT.

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The Model for \neg "partially Cauchy implies Cauchy"

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ii) finitely many functions g and $\epsilon > 0$, with associated $M_{g,\epsilon} \in \mathbb{N}$, meaning diam $(a_n, ..., a_{g(n)}) < \epsilon$ for $n > M_{g,\epsilon}$.

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The Model for \neg "A-S spaces are closed under products"

Let T be $\{(z_n) \mid \text{finitely many } z_n \text{ are pairs of reals } \langle x_n, y_n \rangle$, the rest are *}.

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Let *T* be $\{(z_n) \mid \text{finitely many } z_n \text{ are pairs of reals } \langle x_n, y_n \rangle$, the rest are *}. A basic open set *p* is given by: *i*) a finite sequence α_n (n < N), each entry of which is either * or a pair of finite open intervals $\langle I_n, J_n \rangle$, and *ii*) an assignment to each of finitely many closed and bounded sets C_i ($i \in I$) in \mathbb{R}^2 of a natural number M_i , meaning that beyond M_i the entries $\langle I_n, J_n \rangle$ have to avoid C_i .

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 $p \Vdash G(n) = *$ if $\alpha_n = *, G(n) \in I_n \times J_n$ otherwise. Let X and Y be the projections of the G(n)'s onto the first and second coordinates.

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Theorem

 $T \Vdash X$ and Y are A-S spaces, whereas G is a counter-example to $X \times Y$ being an A-S space.

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Questions

- ► What is the computational content of these theorems? That is, in the various realizability models of ¬BD-N, which of these hold?
- More generally, are any implied by Countable Choice? Do they hold for sequences or spaces of rational numbers?

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- What continuity (or other) principles are they equivalent with?
- How can they be reformulated to look more like BD-N?

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- What continuity (or other) principles are they equivalent with?
- How can they be reformulated to look more like BD-N?
- Are they independent of each other?
- What other non-provable statements are strictly weaker than BD-N?

The Fan Theorem Varieties of the Fan Theorem Independence Results and Models Questions

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The Fan Theorem

Definition

A set B of nodes of a tree T is a *bar* if every path through T intersects B.

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Example

In Baire space $\mathbb{N}^{\mathbb{N}}$, $\{\sigma \mid length(\sigma) = \sigma(0) + 1\}$.

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In Baire space $\mathbb{N}^{\mathbb{N}}$, $\{\sigma \mid length(\sigma) = \sigma(0) + 1\}$.

Definition

A bar B is *uniform* if there is a length n such that every node of length n has an initial segment in B.

The Fan Theorem FAN: For $T = 2^{\mathbb{N}}$, every bar is uniform.

The Fan Theorem Varieties of the Fan Theorem Independence Results and Models Questions

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Definition

A bar B is *uniform* if there is a length n such that every node of length n has an initial segment in B.

The Fan Theorem FAN: For $T = 2^{\mathbb{N}}$, every bar is uniform.

The contrapositive: "If a set of nodes is not uniform, then it's not a bar." Classically, "if a set of nodes does not cover a whole level, then there's a path avoiding it," that is, (Weak) König's Lemma.

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Variations of FAN

By applying FAN to fewer bars, strengthening the hypothesis, we get weaker statements.

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D-FAN: Every decidable (i.e. detachable) bar is uniform.

The Fan Theorem Varieties of the Fan Theorem Independence Results and Models Questions

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 Π_1^0 -FAN: Every bar which is a countable intersection of decidable bars is uniform.

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c-FAN: Every bar of the form $\{\sigma \mid \forall \tau \ \sigma * \tau \in \hat{B}\}, \ \hat{B}$ decidable, is uniform.

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What do these have to do with continuity?

The Fan Theorem Varieties of the Fan Theorem Independence Results and Models Questions

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Some Equivalences

(Berger) c-FAN iff every continuous $f : 2^{\mathbb{N}} \to \mathbb{N}$ is uniformly continuous.

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Easily, $FAN \Rightarrow \Pi_1^0 - FAN \Rightarrow c - FAN \Rightarrow D - FAN$. Question: Are any arrows reversible? Provable outright?

The Fan Theorem Varieties of the Fan Theorem Independence Results and Models Questions

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Established Results

(Kleene) There is an infinite computable binary tree with no computable path.

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(Kleene) There is an infinite computable binary tree with no computable path. So in Reverse Mathematics, $RCA_0 \Rightarrow WKL_0$. For us, IZF $\not\vdash$ D-FAN.

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The Fan Theorem Varieties of the Fan Theorem Independence Results and Models Questions

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Trouble Extending these Results

Berger's: Weak meta-theory unsatisfactory.

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Trouble Extending these Results

(Fourman-Hyland) Every topological model satisfies full FAN.

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Trouble Extending these Results

(Fourman-Hyland) Every topological model satisfies full FAN. They considered K(T), the Heyting algebra of co-perfect open sets, in particular $K([0,1] \times [0,1])$.

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Trouble Extending these Results

(Fourman-Hyland) Every topological model satisfies full FAN. They considered K(T), the Heyting algebra of co-perfect open sets, in particular $K([0,1] \times [0,1])$. Most K(T) satisfy full FAN.

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Trouble Extending these Results

realizability:

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Trouble Extending these Results

realizability:

(Longley) Under mild restrictions on a pca A, the realizability model over A either satisfies full FAN or falsifies D-FAN. Furthermore, the same holds for all known extensional realizability models.

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A Kripke Model of ¬ D-FAN

Force (in classical ZF) to get a binary tree with labels IN (the bar), OUT (of the bar), and ∞ (really out of the bar).

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Include at the bottom node of the Kripke model all those terms that do not distinguish between OUT and $\infty.$

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Include at the bottom node of the Kripke model all those terms that do not distinguish between OUT and ∞ . Generically, all such paths will hit the bar at some point.

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Successor nodes are based on an ultrapower of V[G].

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Force (in classical ZF) to get a binary tree with labels IN (the bar), OUT (of the bar), and ∞ (really out of the bar).

Include at the bottom node of the Kripke model all those terms that do not distinguish between OUT and ∞ . Generically, all such paths will hit the bar at some point.

Successor nodes are based on an ultrapower of V[G]. In all possible ways, change hyper-finitely many non-standard nodes by moving them from out of the generic to in the generic.

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A Kripke Model of D-FAN $+ \neg$ c-FAN

Hide a tree like the previous one so that it's at best c-definable.

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A Kripke Model of D-FAN $+ \neg$ c-FAN

Hide a tree like the previous one so that it's at best c-definable. At the bottom node, the decidable tree contains everything.

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A Kripke Model of D-FAN $+ \neg$ c-FAN

Hide a tree like the previous one so that it's at best c-definable. At the bottom node, the decidable tree contains everything. Successor nodes are based on an ultrapower of V[G], and omit from the decidable tree a non-standard point labeled ∞ . So the induced c-set at the bottom node looks like the generic.

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A Kripke Model of D-FAN $+ \neg$ c-FAN

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A Kripke Model of c-FAN $+ \neg \Pi_1^0$ -FAN

Hide a tree like the previous one so that it's at best Π_1^0 -definable.

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A Kripke Model of c-FAN $+ \neg \Pi_1^0$ -FAN

Hide a tree like the previous one so that it's at best Π_1^0 -definable. At the bottom node, the decidable sequence of trees contains everything.

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A Kripke Model of c-FAN $+ \neg \Pi_1^0$ -FAN

Hide a tree like the previous one so that it's at best Π_1^0 -definable. At the bottom node, the decidable sequence of trees contains everything.

Successor nodes are based on an ultrapower of V[G], and omit from a tree with non-standard index a binary sequence either if it's labeled ∞ or has non-standard length. So the induced Π^0_1 -set at the bottom node looks like the generic.

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A Kripke Model of c-FAN $+ \neg \Pi_1^0$ -FAN

Hide a tree like the previous one so that it's at best Π_1^0 -definable. At the bottom node, the decidable sequence of trees contains everything.

Successor nodes are based on an ultrapower of V[G], and omit from a tree with non-standard index a binary sequence either if it's labeled ∞ or has non-standard length. So the induced Π^0_1 -set at the bottom node looks like the generic.

Include only those terms definable from the decidable sequence.

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A Kripke Model of Π_1^0 -FAN $+ \neg$ full FAN

The easiest of all, because the tree does not have to be decidable.

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A Kripke Model of Π_1^0 -FAN + \neg full FAN

The easiest of all, because the tree does not have to be decidable. At the bottom node, the tree looks like the generic (the IN nodes).

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A Kripke Model of Π_1^0 -FAN + \neg full FAN

The easiest of all, because the tree does not have to be decidable. At the bottom node, the tree looks like the generic (the IN nodes). Successor nodes need no ultrapower. For each binary sequence labeled ∞ , there is some successor node at which that binary sequence and its predecessors are the only nodes not in the tree. Include only those terms definable from this tree.

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To determine the computational content of these principles.
 Find computational/realizability models separating them.
 Perhaps there are complexity issues involved.

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- To determine the computational content of these principles.
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 Perhaps there are complexity issues involved.
- Find the canonical models, if any.

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- To determine the computational content of these principles.
 Find computational/realizability models separating them.
 Perhaps there are complexity issues involved.
- Find the canonical models, if any.
- Study the weak versions of these principles, by which the bar is concluded not to be uniform but rather to take up (at least) half of a level.

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